

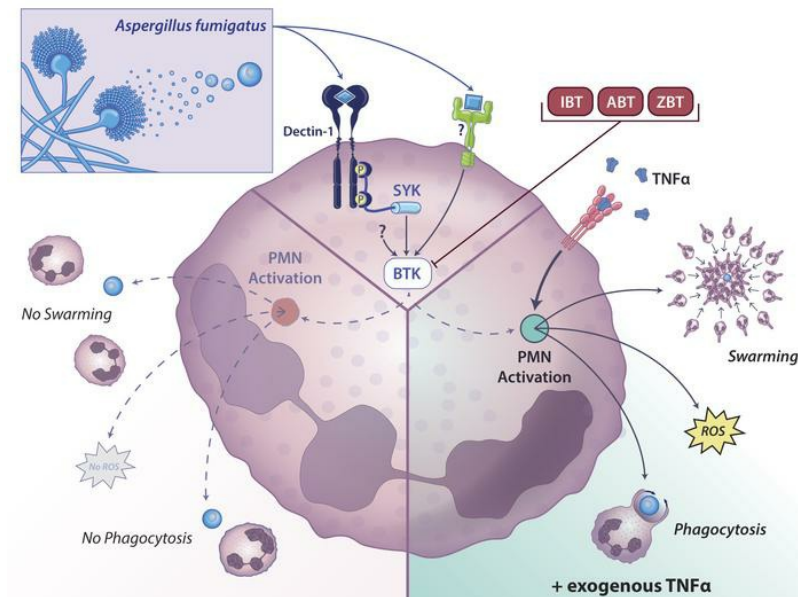
## BTK inhibitor-induced defects in human neutrophil effector activity against *Aspergillus fumigatus* are restored by TNF $\alpha$

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1 **BTK inhibitor-induced defects in human neutrophil effector activity against *Aspergillus***  
2 ***fumigatus* are restored by TNF $\alpha$**

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21 **ABSTRACT**

22 Inhibition of Bruton's tyrosine kinase (BTK) through covalent modifications of its active site (*e.g.*,  
23 ibrutinib [IBT]) is a preferred treatment for multiple B cell malignancies. However, IBT-treated  
24 patients are more susceptible to invasive fungal infections, although the mechanism is poorly  
25 understood. Neutrophils are the primary line of defense against these infections; therefore, we  
26 examined the impact of IBT on primary human neutrophil effector activity against *Aspergillus*  
27 *fumigatus*. IBT significantly impaired the ability of neutrophils to kill *A. fumigatus* and potently  
28 inhibited reactive oxygen species (ROS) production, chemotaxis, and phagocytosis. Importantly,  
29 exogenous TNF $\alpha$  fully compensated for defects imposed by IBT and newer-generation BTK  
30 inhibitors and restored the ability of neutrophils to contain *A. fumigatus* hyphal growth. Blocking  
31 TNF $\alpha$  did not impact ROS production in healthy neutrophils but prevented exogenous TNF $\alpha$  from  
32 rescuing the phenotype of IBT-treated neutrophils. The restorative capacity of TNF $\alpha$  was  
33 independent of transcription. Moreover, the addition of TNF $\alpha$  immediately rescued ROS  
34 production in IBT-treated neutrophils indicating that TNF $\alpha$  worked through a BTK-independent  
35 signaling pathway. Finally, TNF $\alpha$  restored effector activity of primary neutrophils from patients  
36 on IBT therapy. Altogether, our data indicate that TNF $\alpha$  rescues the antifungal immunity block  
37 imposed by inhibition of BTK in primary human neutrophils.

## 38 INTRODUCTION

39 Invasive fungal infections are dreaded complications for those with compromised immune  
40 systems, including cancer patients (*e.g.*, leukemia, lymphoma), solid-organ and hematopoietic  
41 stem cell transplant recipients. The fungal pathogen *Aspergillus* spp. causes a spectrum of diseases,  
42 including asthma, chronic infection, and invasive disease. Invasive fungal infections carry elevated  
43 mortality rates in these high-risk patients, despite the availability of antifungals(1-4),  
44 demonstrating the critical role of the innate immune system as the first line of defense against these  
45 devastating infections (5, 6).

46 As the first responders in fungal infections, neutrophils exert antifungal activity through  
47 multiple effector functions, including swarming, phagocytosis, and reactive oxygen species (ROS)  
48 production. Activation of neutrophil pattern recognition receptors triggers these effector functions  
49 and subsequent cytokine secretion. However, a reduced ability to produce neutrophils or neutrophil  
50 dysfunction occurs in many immunosuppressed individuals, contributing to an elevated risk of  
51 invasive fungal infections, including invasive aspergillosis. Tyrosine kinases are critical to  
52 neutrophil effector function in antifungal immunity (7-9). *Aspergillus* cell wall carbohydrates  
53 trigger intracellular signaling cascades and effector functions through spleen tyrosine kinase (Syk)  
54 (10, 11). Bruton's tyrosine kinase (BTK), a kinase downstream of Syk, mediates antifungal  
55 response in innate immune cells, including neutrophils (12). While these kinases are critical in  
56 antifungal immunity, small-molecule inhibitors targeting these molecules are effective  
57 therapeutics for B cell malignancies and chronic graft-versus-host disease (13-16).

58 Unfortunately, BTK inhibitor therapy amplifies the risk of invasive infections, including  
59 fungal pathogens, particularly in dissemination to the central nervous system (CNS) (15, 17-20).  
60 Although BTK inhibitors (*e.g.*, acalabrutinib [ABT], ibrutinib [IBT], zanubrutinib [ZBT]) improve

61 outcomes in multiple subtypes of B cell lymphoma and leukemia, BTK and other Tec protein  
62 tyrosine kinases signal diverse cellular processes in immune cell lineages (*e.g.*, macrophages,  
63 neutrophils,  $\gamma\delta$  T cells) (21-24). These BTK inhibitors impair the function of immune cells critical  
64 to host defense against invading pathogens through the suppression of pro-inflammatory  
65 cytokines, dampened killing capacity, and blunted ROS production (19, 25-32). Indeed, the  
66 irreversible inhibitor of BTK, IBT, quickly reduces BTK phosphorylation at the Tyr<sup>551</sup> and Tyr<sup>223</sup>  
67 sites and has been linked to defects in murine neutrophils when responding to *A. fumigatus* (29,  
68 31). The impact of BTK inhibition on neutrophil effector functions remains incompletely  
69 understood (33).

70         Here, we demonstrate the deleterious effect of three BTK inhibitors (IBT, ABT, and ZBT)  
71 on the antifungal effector functions of human neutrophils including chemotaxis, phagocytosis, and  
72 ROS production. Given that genes related to the TNF signaling pathways were the most  
73 differentially expressed in IBT-treated neutrophils, we tested the hypothesis that TNF $\alpha$  could  
74 bypass the block imposed by BTK inhibition. We show that exogenous TNF $\alpha$  improves BTK  
75 inhibitor-associated defects, restoring the neutrophil ability to control *A. fumigatus* in healthy  
76 neutrophils treated with BTK inhibitors as well as in neutrophils from IBT-treated patients. We  
77 demonstrate that the restorative effect of exogenous TNF $\alpha$  occurs via transcription-independent  
78 signaling. Taken together, these data indicate that exogenous TNF $\alpha$  acts as a signaling molecule  
79 in neutrophils, rapidly compensating for BTK inhibitor-imposed defects in response to *A.*  
80 *fumigatus*.

81 **RESULTS**

82 **Ibrutinib inhibited neutrophil effector activity against *A. fumigatus***

83 To evaluate the hypothesis that BTK inhibition of neutrophils affect antifungal immune response  
84 against *A. fumigatus*, we sought to determine the impact of BTK inhibition on neutrophil effector  
85 functions including killing, ROS production, phagocytosis, and swarming by neutrophils when  
86 challenged with *A. fumigatus*. Primary human neutrophils treated *ex vivo* with IBT at a  
87 physiologically relevant concentration (19, 34) (0.3  $\mu$ M) or ten-fold higher or lower concentrations  
88 failed to kill *A. fumigatus* in contrast to neutrophils treated with solvent control (0.1% DMSO), as  
89 shown by a resazurin-based metabolic assay (**Figure 1A**). These data were confirmed by  
90 calculating the rate of growth inhibition of *A. fumigatus* when compared to the *A. fumigatus* growth  
91 alone (**Figure 1B**). These results demonstrated that IBT-treated neutrophils failed to control *A.*  
92 *fumigatus* growth as compared to solvent-treated neutrophils.

93 We next examined the effects on ROS production in primary neutrophils using the same  
94 doses as above. Consistent with the metabolic activity assay, IBT-treated neutrophils produced  
95 less ROS in response to heat-killed *A. fumigatus* hyphae when compared to DMSO-treated  
96 neutrophils (**Figure 1C**). These BTK inhibitor-induced effects on ROS production were not strain-  
97 specific and IBT blocked  $\beta$ -glucan-coated bead (the agonist for Dectin-1 signaling) induced ROS  
98 production (**Supplemental Figure 1A-D**). As a control, we examined the impact of BTK inhibition  
99 on Dectin-1 expression in primary human neutrophils as loss of expression of Dectin-1 could be a  
100 trivial explanation for these findings. Dectin-1 expression was not altered in IBT-treated  
101 neutrophils (**Supplemental Figure 1E**). To examine whether these effects blocked all induced  
102 ROS production, we stimulated IBT-treated neutrophils with PMA, a NADPH oxidase inducer.  
103 PMA in the presence of IBT generated ROS similar to the solvent control (**Figure 1D**), suggesting

104 that IBT-associated ROS defects were specific to ligands found on *A. fumigatus*. We examined  
105 intracellular ROS production to determine if this process was also sensitive to BTK inhibition. IBT  
106 potently reduced the amount of intracellular ROS as determined by flow cytometry (**Supplemental**  
107 **Figure 2**). These data indicate that IBT blocked both extracellular and intracellular ROS  
108 production.

109 Since pathogen-associated molecular pattern molecules can trigger an increase of  
110 neutrophilic phagocytic activity (35), we sought to determine if BTK inhibitor effect included  
111 phagocytosis. We measured neutrophil phagocytosis of *A. fumigatus* by flow cytometry using  
112 AF488-labeled conidia. Neutrophils were gated as the double positive CD45<sup>+</sup>/CD66b<sup>+</sup>  
113 subpopulation and evidence of phagocytosis was defined as CD45<sup>+</sup>/CD66b<sup>+</sup>/Af488<sup>+</sup>. Neutrophil  
114 phagocytosis of *A. fumigatus* conidia was severely impaired by IBT in a dose-dependent manner  
115 when compared to solvent-treated neutrophils (**Figure 1E, panel 1-3**). To rule out stochastic  
116 associations of conidia and neutrophils at a superficial level, we used cytochalasin D, an actin  
117 polymerization inhibitor, in parallel treatments for each condition tested. In the presence of  
118 cytochalasin D, CD45<sup>+</sup>/CD66b<sup>+</sup>/AF488<sup>+</sup> events were below 1.35% for solvent-treated neutrophils  
119 (**Figure 1E, panel 4**), with similar values for all other neutrophil treatments (**Supplemental Figure**  
120 **3**). These results indicated that IBT-treated human neutrophils were impaired in their phagocytic  
121 capacity as compared to solvent-treated neutrophils.

122 We next leveraged a neutrophil swarming assay (36) to determine how coordinated  
123 chemotaxis to the site of infection and containment of fungal growth may be impacted by BTK  
124 inhibition. We observed significantly impaired neutrophil swarming over 200 min towards *A.*  
125 *fumigatus* in IBT-treated neutrophils compared to the solvent control (**Figure 1F-G**). In addition,

126 we demonstrated that IBT-treated neutrophils were less able to contain fungal growth compared  
127 to solvent-treated neutrophils 16h after co-incubation of *A. fumigatus* (**Figure 1H**).

128

### 129 **TNF $\alpha$ compensated IBT-induced defects in neutrophils against *A. fumigatus***

130 To better understand how BTK impacted the neutrophil immune response against *A. fumigatus*,  
131 we assessed signaling pathways affected by IBT treatments at the transcript level. We collected  
132 RNA from unstimulated neutrophils treated with either 0.3  $\mu$ M IBT or solvent control for 4h and  
133 assessed the expression of 773 host response genes. Using NanoString nCounter, we detected 18  
134 differentially expressed genes (DEGs) in IBT- vs solvent-treated unstimulated neutrophils (**Figure**  
135 **2A, Table 1, Supplemental Table 1**). Interestingly, *TNF* was the top hit and was downregulated  
136 by a log<sub>2</sub> fold-change of 4, closely followed by *CD274* whose product PD-L1 has been positively  
137 correlated with TNF $\alpha$  production (37, 38). Moreover, *RAC2*, important for neutrophil granule  
138 exocytosis (39) and TNF $\alpha$ -mediated ROS production (40) was found to be upregulated. Given the  
139 role of multiple DEGs in TNF $\alpha$  signaling pathways, we next examined upregulated and  
140 downregulated genes in the TNF $\alpha$  pathway using a KEGG map (**Figure 2B**). The analysis revealed  
141 that the genes *ADGRG3*, *ALPL*, *CRI*, *ERN1*, *FOS*, *IL1RAP*, *IL1RL1*, *MAP2K4*, *PIK3CB*, *RAC2*,  
142 *TIMP2*, and *TME140* were upregulated or relatively unchanged. Downregulation of *APOL6*,  
143 *CD274*, *FBXO6*, *GBP1*, *STAT1*, and *TNF* occurred in IBT-treated neutrophils.

144 Analysis of transcriptional changes in IBT-treated neutrophils revealed that the TNF  
145 signaling pathway was the most affected. We hypothesized that exogenous TNF $\alpha$  could rescue the  
146 immune defects in these neutrophils. Most TNF $\alpha$  in inflammatory conditions are from  
147 heterologous sources (*e.g.*, macrophages, dendritic cells), with a small fraction made from  
148 neutrophils. To address their contribution, we quantified soluble TNF $\alpha$  by ELISA using the



149 supernatant of *A. fumigatus*-stimulated neutrophils. Indeed, TNF $\alpha$  levels were 45% lower in IBT-  
150 treated cells compared to the solvent control (**Supplemental Figure 4A**). To test the hypothesis  
151 that exogenous TNF $\alpha$  can restore neutrophil activity against *A. fumigatus*, we stimulated IBT-  
152 treated neutrophils with recombinant TNF $\alpha$ , then challenged with *A. fumigatus*. At both 5 ng/mL  
153 and 100 ng/mL, TNF $\alpha$  restored effector activity against *A. fumigatus* to levels comparable to those  
154 of competent neutrophils, as demonstrated by growth inhibition (**Figure 3A**) and ROS production  
155 (**Figure 3B, Supplemental Figure 4-5**). Similarly, TNF $\alpha$  promoted neutrophil swarming in IBT-  
156 treated neutrophils recapitulating those of control neutrophil treatments (**Figure 3C-E**). TNF $\alpha$  also  
157 restored the phagocytic activity of 0.3  $\mu$ M IBT-treated neutrophils (2.36% phagocytic activity,  
158 **Figure 1E**) compared to 63.6% and 68.2% when 5 ng/mL or 100 ng/mL TNF $\alpha$  were added,  
159 respectively (**Figure 3F, Supplemental Figure 5C**). We then examined the transcription signature  
160 of IBT-treated neutrophils stimulated with *A. fumigatus* with and without exogenous TNF $\alpha$ . Out  
161 of 773 genes examined by NanoString nCounter, 79 were DEG in IBT-treated vs solvent control-  
162 treated neutrophils stimulated with *A. fumigatus*, 65 of which were compensated (genes not  
163 significantly dysregulated for IBT+TNF $\alpha$  vs solvent control) by 5 ng/mL TNF $\alpha$  (**Figure 3G,**  
164 **Supplemental Table 1**). Taken together, our data indicated that TNF $\alpha$ , at doses as low as 5 ng/mL,  
165 compensated for IBT-induced defects in neutrophils.

166 In addition to TNF $\alpha$ , we tested the effect of IFN $\gamma$ , G-CSF, IL-1 $\beta$ , and IL-8, on neutrophils  
167 treated with 0.3  $\mu$ M and 3  $\mu$ M IBT. The effects of GM-CSF on neutrophil function following BTK  
168 inhibition is discussed in Desai et al (41). However, IFN $\gamma$ , G-CSF, IL-1 $\beta$ , and IL-8 did not restore  
169 neutrophil effector activity but rather further exacerbated the IBT-associated defects for killing  
170 capacity against *A. fumigatus* (**Supplemental Figure 6A**). Importantly, growing *A. fumigatus* in  
171 presence of IBT or any of these cytokines alone did not alter the pathogen's basal metabolic

172 activity (*data not shown*). While the killing capacity was not compensated by these cytokines, G-  
173 CSF mildly improved extracellular ROS production. Similarly, IFN $\gamma$ , IL-1 $\beta$ , and IL-8 showed a  
174 modest increase (***Supplemental Figure 6B***). Neither TNF $\alpha$  nor all other tested cytokines elicited  
175 neutrophil ROS production in the absence of a stimulant. Additionally, neutrophil swarming and  
176 phagocytosis defects were not improved by exogenous IFN $\gamma$ , G-CSF, IL-1 $\beta$ , and IL-8 in IBT-  
177 treated neutrophils (***Supplemental Figure 6C-E***). These data indicated that TNF $\alpha$ , specifically,  
178 restored the defects caused by BTK inhibition on human neutrophil effector activity.

179

#### 180 **TNF $\alpha$ improved effector function defects imposed by other BTK inhibitors**

181 Patients treated with IBT carry an increased risk for invasive fungal infections (15). However,  
182 patients on newer agents in this class rarely report significant invasive fungal infections (42-46).  
183 It remains unclear whether these agents behave differently with respect to *A. fumigatus*-specific  
184 neutrophil effect activity. To determine if other FDA-approved BTK inhibitors affected antifungal  
185 immunity, we used ABT and ZBT, newer generation BTK inhibitors with reported decreased off-  
186 target activity (47, 48). Using the growth inhibition measurement, both drugs at physiologically  
187 relevant concentrations (1  $\mu$ M for ATB and 0.4  $\mu$ M for ZBT) (49-52) or ten-fold below disrupted  
188 immunological mechanisms implicated in *Aspergillus* defense (***Figure 4A***), confirming that BTK  
189 inhibition dampened the neutrophil response against *A. fumigatus*. Therefore, we considered  
190 whether TNF $\alpha$  could compensate the specific defects imposed by ABT and ZBT in neutrophils.  
191 We measured *A. fumigatus* killing, ROS production, phagocytosis, and swarming in ABT- and  
192 ZBT-treated neutrophils. These experiments revealed similar outcomes to those elicited by IBT,  
193 all of which TNF $\alpha$  rescued to similar levels as the solvent controls (***Figure 4A-G; Supplemental***

194 **Figure 7**). Taken together, our observations indicated a class-effect of BTK inhibitors that is not  
195 limited to a specific drug in this family of chemotherapeutic agents.

196

### 197 **Restorative capacity of exogenous TNF $\alpha$ was transcription-independent**

198 Since IBT treatment impaired TNF $\alpha$  production in neutrophils stimulated with *A. fumigatus*, we  
199 sought to determine whether endogenously produced TNF $\alpha$  contributed to the ability of  
200 neutrophils to respond to *A. fumigatus*. We treated neutrophils with infliximab (IFM), a  
201 monoclonal antibody to TNF $\alpha$ , prior to stimulation with *A. fumigatus* and demonstrated no changes  
202 in pathogen killing or ROS production compared to the solvent control (**Figure 5A-B**). Moreover,  
203 adding IFM to IBT-treated neutrophils prior to the addition of TNF $\alpha$  showed no change in  
204 pathogen killing efficiency. However, there was modest ROS production, probably caused by  
205 partial activation of the TNF $\alpha$  receptor.

206 To assess whether the rescue of neutrophil effector activity by TNF $\alpha$  required *de novo*  
207 transcriptional activity, we assessed if exogenous TNF $\alpha$  utilizes pre-existing signaling pathways.  
208 We treated neutrophils with IBT or solvent control for 30 min, followed by TNF $\alpha$  immediately  
209 before stimulation with *A. fumigatus* (0 min), 15 min, or 30 min. TNF $\alpha$  rescued ROS production  
210 even when the cytokine was added immediately before stimulation, with the starting signal  
211 detected 20 min after stimulation (**Figure 5C**), demonstrating a swift response prior to expected  
212 transcriptional changes. To address directly the role of transcription in this process, neutrophils  
213 treated with IBT for 30 min were exposed to actinomycin D (actD), a potent transcription inhibitor  
214 (53), for 15 min and supplemented with TNF $\alpha$ . Compensation of ROS production by TNF $\alpha$   
215 occurred even in the absence of transcription (**Figure 5D**). These data indicated that TNF $\alpha$  acted

216 through BTK-independent signaling pathway(s) to promote ROS production, without the need for  
217 transcription.

218

219 **Exogenous TNF $\alpha$  rescued defects in neutrophils from patients undergoing treatment with**  
220 **IBT**

221 Our data demonstrated that treating primary healthy human neutrophils with BTK inhibitors *ex*  
222 *vivo* potently affected neutrophil effector activity against *A. fumigatus*, a defect that exogenous  
223 TNF $\alpha$  restored. However, whether this observation translated to patients on BTK inhibitors for the  
224 management of oncologic diagnosis remained unclear. Thus, we examined the restorative effect  
225 of TNF $\alpha$  on the neutrophil immune response against *A. fumigatus* in patients actively treated with  
226 IBT. We isolated neutrophils from B-lymphocyte leukemia patients undergoing IBT therapy.  
227 Patient or healthy donor neutrophils were treated with TNF $\alpha$  for 3h, followed by stimulation with  
228 *A. fumigatus*. We then quantified pathogen killing, ROS production, and phagocytosis. Our results  
229 recapitulated our previous data: neutrophils from IBT-treated patients were less effective at  
230 responding to *A. fumigatus* when compared to neutrophils from healthy donors, but TNF $\alpha$  rescued  
231 these defects to healthy control baseline (**Figure 6, Supplemental Figure 8**). Together, these data  
232 demonstrated that BTK inhibitor-mediated neutrophil dysfunction can be reversed by TNF $\alpha$  from  
233 patients on chronic IBT therapy.

234

235 **DISCUSSION**

236 Here, we unveiled the role of BTK inhibition on neutrophil antifungal effector functions.  
237 Specifically, we demonstrated that even below typical plasma concentrations seen in chronically  
238 treated patients, BTK inhibitors caused significant immune defects in human neutrophils against  
239 the fungal pathogen. We identified TNF $\alpha$  as one of the major pathways modified at a  
240 transcriptional level by BTK inhibition in neutrophils. Furthermore, we showed that exogenous  
241 TNF $\alpha$  restores critical effector functions to contain and neutralize *A. fumigatus*. Importantly, these  
242 effects were not exclusive to healthy human neutrophils, but also observed in neutrophils isolated  
243 from B-lymphocyte leukemia patients receiving IBT treatment. Together, these data suggest that  
244 BTK functions as a master regulator of antifungal neutrophil activity.

245 Recognition of fungal cell wall components such as  $\beta$ -glucan and galactomannan by  
246 immune cells triggers antifungal immunity through phagocytosis, chemotaxis, production and  
247 release of pro-inflammatory cytokines, and ROS production (5). These pathways rely on the  
248 activation of tyrosine kinases, including BTK, to mediate immune effector functions to invading  
249 pathogens. Indeed, carbohydrate-like receptors (CLRs), integrins, Toll-like receptors (TLRs), and  
250 the inflammasome are the primary activators of antifungal signaling cascades (54, 55). The integrin  
251 receptor CD11b/CD18 (Mac-1) and the CLR Dectin-1 are important receptors for  $\beta$ -glucan  
252 recognition in humans (56, 57), and participate in granulocyte activation, chemotaxis, cytotoxicity,  
253 and phagocytosis (58-61). We show that BTK does not abrogate Dectin-1 expression on IBT-  
254 treated neutrophils. The recognition of fungal hyphae or large clusters of conidia, potentially  
255 mediated by the same receptors, triggers neutrophil cooperation observed during swarming (39).  
256 Importantly, Mac-1 and Dectin-1 signals through kinases such as Syk, PI3K, and PKC (62), which  
257 in turn can modulates BTK activity. Interestingly, Mac-1 activation requires BTK in sterile

258 inflammation (63). Activation of these pathways mediates the production of pro-inflammatory  
259 cytokines, phagocytosis of pathogens, and confinement of growing fungi inside neutrophil swarms  
260 (7). Although critical for antifungal immunity, these responses vary between immune cell types.  
261 In murine macrophages stimulated with *A. fumigatus*, TLR9-BTK-calcineurin-NFAT signaling  
262 cascade requires Dectin-1 and Syk-dependent phagocytosis, yet no changes in phagocytosis occur  
263 in response to inhibition of BTK (25, 64). Interestingly, in response to the fungal organism  
264 *Candida albicans* in macrophages, BTK localizes to the phagocytic cup and is necessary to  
265 generate mature phagosomal markers (9). Furthermore, BTK inhibition dampens phagocytic  
266 uptake. In the present study, we revealed the importance of functional BTK in mediating  
267 phagocytic uptake of *Aspergillus* conidia. While prior studies in macrophages suggest that  
268 phagocytosis of *A. fumigatus* remains similar in the presence and absence of BTK inhibition (63),  
269 it is possible that immortalized cell lines and primary human neutrophils respond differently. These  
270 data suggests that that role of BTK in phagocytosis may be species- and immune-cell specific. The  
271 precise mechanism of BTK modulation of phagocytosis in neutrophils remains unknown.

272         Neutrophil ROS production facilitates fungal killing. Inadequate production of ROS  
273 enables fungal pathogens to invade host tissues. Individuals with deficiencies in key components  
274 of ROS production, such as subunits of the NADPH oxidase complex, are at risk of recurrent and  
275 severe fungal infections (65, 66), highlighting the importance of ROS in containing fungal  
276 infections. Given the reduced phagocytic capacity of neutrophils treated with BTK inhibitors, we  
277 would expect reduced downstream ROS production. Indeed, our data suggest that BTK inhibition  
278 only impairs phagocytosis-dependent intracellular and extracellular ROS production in response  
279 to *A. fumigatus*. These data confirm previous studies that demonstrate dampened ROS production  
280 in neutrophils isolated from patients with one month and three months of IBT therapy (18). Since

281 most of our investigations utilized neutrophils isolated from healthy volunteers, these results  
282 suggest that the reduction of effector functions against *A. fumigatus* is triggered by the BTK  
283 inhibition rather than the underlying disease requiring treatment with BTK inhibitors (*e.g.*, chronic  
284 lymphocytic leukemia, graft-versus-host disease). Overall, we argue that BTK regulates neutrophil  
285 phagocytosis, a fundamental step in the recognition of fungal pathogens, which subsequently leads  
286 to ROS production and ultimately the killing of the pathogen.

287       Cases of aspergillosis dominate the invasive fungal infections in patients receiving BTK  
288 inhibitory therapy compared to other fungal pathogens. Interestingly, there is a proclivity of  
289 disseminated *Aspergillus* infection to the CNS in patients treated with a BTK inhibitor, with 40-  
290 60% of IBT-associated aspergillosis presenting cerebrally (20, 43, 67, 68). The mechanisms  
291 underpinning the susceptibility of the CNS to invasive aspergillosis remains unknown. While the  
292 role of BTK inhibition in innate immune cells in the periphery has been demonstrated by data  
293 presented here and in other studies, the role of BTK inhibition on resident immune cells (*i.e.*,  
294 microglia and astrocytes) in the brain or the blood-brain barrier function in the setting of fungal  
295 infection remains unknown. BTK inhibition dampens microglial and astrocyte lipopolysaccharide-  
296 induced activation and proinflammatory cytokine production, including TNF $\alpha$  (69). Here, we  
297 suggest that neutrophil dysfunction is important to BTK inhibition-associated aspergillosis. In a  
298 model of cerebral aspergillosis, no change in the number of neutrophil or  $\gamma\delta$  T cells were observed,  
299 although other immune cells were drastically lower (70). Neutrophils produce low levels of TNF $\alpha$   
300 compared to other inflammatory cells, such as macrophages, dendritic cells, natural killer cells,  
301 and T cells (71). Perhaps these cells compensate for the decreased TNF $\alpha$  produced by neutrophils  
302 during treatment with BTK inhibitors, resulting in less established fungal infections in the  
303 periphery. Given the immunomodulatory role of IBT in a murine model and the fact that microglia,

304 astrocytes, and neurons are the primary source of TNF $\alpha$  in the CNS (69, 72), it is possible that low  
305 TNF $\alpha$  secretion cannot be compensated in the brain, enabling fungal organisms to establish an  
306 infection in patients receiving BTK inhibition. Further investigations on the role of local and  
307 recruited immune cells in BTK inhibition-associated CNS aspergillosis are warranted.

308         Given the propensity of invasive fungal infections in patients treated with BTK inhibitors,  
309 we examined opportunities to bypass BTK inhibition and restore neutrophil effector functions. Our  
310 transcriptional analyses highlight an upregulation of numerous components in the TNF $\alpha$  signaling  
311 pathway, including the receptor. Interestingly, we reveal a downregulation of TNF $\alpha$  itself in BTK  
312 inhibited neutrophils. In concordance with these observations, BTK inhibition impairs TNF $\alpha$   
313 production in monocyte-derived macrophages, alveolar macrophages, and  $\gamma\delta$  cells in response to  
314 *A. fumigatus*, *Streptococcus pneumoniae*, and *Mycobacterium tuberculosis* (25, 26, 28, 64). Since  
315 TNF $\alpha$  can modulate neutrophil recruitment, an insufficient production of TNF $\alpha$  by macrophages  
316 and  $\gamma\delta$  cells in response to *Aspergillus* may contribute to blunted neutrophil recruitment and host  
317 defense in patients treated with BTK inhibitors.

318         Since TNF $\alpha$  was downregulated, we hypothesized that exogenous TNF $\alpha$  could restore  
319 neutrophil effector function, despite other pathway components remaining available. Upon  
320 exposure to exogenous TNF $\alpha$ , BTK-treated neutrophils recovered effector activity. While these  
321 results are encouraging, the use of TNF $\alpha$  during fungal infections in patients treated with a BTK  
322 inhibitor is not feasible given the numerous off-target effects and induction of severe endotoxic  
323 shock. TNF $\alpha$  is an essential proinflammatory cytokine, but under certain circumstances, too much  
324 TNF $\alpha$  indirectly induces cell death through amplified proinflammatory response (73). Due to  
325 exacerbated inflammation, anti-TNF $\alpha$  biologics are approved for autoimmune diseases such as  
326 rheumatoid arthritis, psoriasis, Crohn's disease, and ulcerative colitis (74). These TNF antibody



327 treatments carry an increased risk of fungal infection, particularly in those treated for  
328 gastrointestinal disease (75, 76). Thus, understanding how exogenous TNF $\alpha$  exerts protective  
329 effects may expand beyond BTK inhibitor treatments to include high-risk patients on TNF  
330 biologics. Further studies are warranted to identify downstream targets with better therapeutic  
331 potential in these patients.

332         Here, we reveal that stimulation of the TNF $\alpha$  signaling pathway compensates for defects  
333 in neutrophils chronically exposed to IBT. GM-CSF can also compensate for these defects (41),  
334 while IFN- $\gamma$ , G-CSF, IL-1 $\beta$ , and IL-8 were unable to do so. Notably, both GM-CSF and TNF $\alpha$   
335 converge on the PI3K/AKT pathway, which may provide insight into the specificity of this  
336 response. A small molecule that activates this pathway may be another approach to overcome the  
337 effects of BTK inhibition. Thus, further research will seek to understand better the specific  
338 effectors downstream of TNF $\alpha$  supplementation responsible for the rescue of neutrophil defects  
339 induced by BTK inhibitor treatments to enable more targeted therapies. Overall, the results  
340 presented here significantly enhance our insights into the immunomodulatory properties of BTK  
341 inhibition and identify pathways that may be leveraged to improve patient outcomes.

342 **METHODS**

343 **Sex as a Biological Variable**

344 Neutrophils were isolated from both men and women. No differences in were observed between  
345 these groups. All data shown in this manuscript represent pooled samples from neutrophils  
346 isolated from both men and women in the given treatment group.

347

348 **Strains and culture conditions**

349 *A. fumigatus* strains B5233 (77), Af293 (78), ATCC46645 (79), and CEA10 (80, 81) were grown  
350 in glucose minimum media (GMM) agar at 37°C for three days. Conidia were harvested using  
351 sterile water with 0.01% Tween 20 and purified using a 40-µm cell strainer. Spores were washed  
352 three times with sterile PBS and counted on a LUNA™ automated cell counter (Logos Biosystems,  
353 Annandale, VA). Swollen conidia were obtained by incubating *A. fumigatus* conidia in cRPMI  
354 media (RPMI-1640 [Corning, Corning, NY, catalog #10-040-V] supplemented with 9% FBS [Life  
355 Technologies, Carlsbad, CA, catalog #26140079], 158 µM penicillin, 152 µM streptomycin, 1.8  
356 mM L-glutamine, 9 mM HEPES, 63.3 µM β-mercaptoethanol) in the presence of 0.5 mg·mL<sup>-1</sup>  
357 voriconazole (VRZ; Sigma-Aldrich, St. Louis, MO, Catalog #PZ0005-25MG) for 6h at 30°C with  
358 agitation. Swollen conidia were centrifuged for 3 min at 16,000 x g, washed with sterile PBS three  
359 times, and resuspended in cRPMI.

360 Heat-killed *A. fumigatus* was grown as previously described (82). Briefly, 3x10<sup>7</sup> colony  
361 forming units were inoculated in 5 mL of YPD media (yeast extract, peptone, dextrose) and grown  
362 at 37°C overnight to generate hyphae. Mycelium was carefully collected, centrifuged for 3 min at  
363 16,000 x g, washed with sterile PBS three times, weighted, and resuspended in 1 mL of PBS.  
364 Hyphae was heat-killed using three 95°C cycles of 10-min each, vortexing between cycles. Heat-

365 killed hyphae was grinded using sterile 1.5-mL pestles (Bio Plas, Inc., San Rafael, CA, Catalog  
366 #4030-PB). Grounded heat-killed hyphal elements were washed three times in PBS and  
367 resuspended to 1 mg of material per mL and stored at 4°C.

368

### 369 **Human neutrophil isolation**

370 Peripheral blood from eighteen healthy volunteers and five B-lymphocyte leukemia patients  
371 treated with IBT were collected in K2 EDTA-treated tubes (BD, Franklin Lakes, NJ, Catalog  
372 #367899) and centrifuged at 1,500 x g for 15 min at room temperature. Neutrophils were isolated  
373 from the buffy coat by negative isolation using the EasySep™ Direct Human Neutrophil Isolation  
374 Kit (STEMCELL Technologies Inc., Cambridge, MA, Catalog #19666), according to the  
375 manufacturer's instructions. Isolated neutrophils were resuspended in cRPMI, assessed viability  
376 using Acridine Orange / Propidium Iodide (New England BioGroup, Atkinson, NH, Catalog  
377 #F23001), and analyzed by flow cytometry to confirm purity using a BD FACSCelesta Cell  
378 Analyzer and the Diva software (BD Biosciences, Billerica, MA). All data shown are  
379 representative of at least three independent experiments using different donors.

380

### 381 **Drugs, cytokines, and monoclonal antibody treatments**

382 Unless stated otherwise, neutrophils were incubated with ABT, IBT, ZBT (Cayman Chemical,  
383 Ann Arbor, MI, Catalog #19899, #16274, and #28924, respectively), or the solvent vehicle control  
384 (0.1% DMSO) at the indicated concentrations for 4h at 37°C and 5% CO<sub>2</sub>. When necessary, a 4h  
385 cytokine treatment started 30 min after adding the BTK inhibitor. The following cytokines and  
386 their doses were used: 5 ng/mL and 100 ng/mL TNF $\alpha$  (Invivogen, San Diego, CA, Catalog #rcyc-  
387 htnfa); 100 ng/mL IFN $\gamma$  (BioLegend, San Diego, CA, catalog #570206); 100 ng/mL IL-1 $\beta$ , 50

388 ng/mL IL-8, or 100 ng/mL G-CSF (PeproTech, Cranbury, NJ #200-01B, #200-08M, #315-02,  
389 respectively).

390 For blocking of phagocytosis, 20  $\mu$ M of cytochalasin D (Sigma-Aldrich, St. Louis, MO,  
391 Catalog #C8273-1MG) was used prior to adding any treatment. For TNF $\alpha$  blocking experiments,  
392 25  $\mu$ g/mL infliximab (IFM; MGH Pharmacy) was added 15 min before adding TNF $\alpha$ . For the  
393 TNF $\alpha$  time-course experiment, neutrophils were treated with 5 ng/mL TNF $\alpha$  for 0-, 15-, or 30-min  
394 stimulation with *A. fumigatus*. For transcription inhibition experiments, 1  $\mu$ g/mL actinomycin D  
395 (actD); Sigma-Aldrich, St. Louis, MO Catalog #A1410-2MG) was used 30 min after adding IBT.  
396 Cytokines were added 15 min after actD.

397

#### 398 ***Aspergillus* metabolic assay (neutrophil killing assay)**

399 Neutrophils were treated with DMSO or either ABT, IBT, or ZBT as described above. Unless  
400 stated otherwise, 200,000 neutrophils/well were stimulated with 50,000 *A. fumigatus* swollen  
401 conidia in Falcon 96-well plates (Corning, Corning, NY, catalog #353219). VRZ was used at 16  
402  $\mu$ g/mL as a control for suppression of *A. fumigatus* metabolic activity. After 5h, neutrophils were  
403 lysed using NP-40 lysis buffer (75 mM NaCl, 2.5 mM MgCl<sub>2</sub>·6H<sub>2</sub>O, 0.5% NP-40, pH 7.5) for 5  
404 min on ice. Media was then supplemented with MOPS-cRPMI (cRPMI containing 165 mM  
405 MOPS, 2% glucose, pH 7.0) and 1:10 PrestoBlue (Invitrogen, Waltham, MA catalog #A13261),  
406 and conidia were allowed to germinate for 12.5h at 37°C. Thereafter, fluorescence (560/590 nm)  
407 was recorded every 30 min for 24h. *A. fumigatus* metabolic activity was determined by resorufin  
408 fluorescence using an SpectraMax i3x microplate reader (Molecular Devices, San Jose, CA). *A.*  
409 *fumigatus* killing was estimated using the Gompertz function as described below:

410 
$$Y = Y_M \left( \frac{Y_0}{Y_M} \right) e^{-Kt}$$

411 Where  $Y_0$  is the starting metabolic activity,  $Y_M$  is the maximum metabolic activity,  $K$  describes the  
412 metabolic rate, or equivalently  $1/K$  the delay (inflection point). We estimated *A. fumigatus* killing  
413 by finding the ratio of  $Y_0$  of a neutrophil and *A. fumigatus* treatment with respect to the  $Y_0$  of an *A.*  
414 *fumigatus* control condition (*i.e.*, spores only, IBT treatment) as described below.

$$415 \quad \text{Growth inhibition, \%} = \left( 1 - \frac{Y_0, \text{ treatment}}{Y_0, \text{ control condition}} \right) \cdot 100\%$$

416 For all figures, the data are presented as the percent of growth inhibition after performing the linear  
417 regression analysis using Gompertz fit with 95% confidence intervals (with the exception of 1A-  
418 B, which shows the raw data used to calculate the growth inhibition in A). All raw data are  
419 provided in the supplemental data found on the JCI Insight website.

420

#### 421 **Neutrophil extracellular ROS production**

422 Using 96-well plates (Greiner Bio-One, Monroe, NC, catalog #655083), 100,000 neutrophils in  
423 cRPMI were stimulated for 4h at 37°C with 1 mg/mL *A. fumigatus* heat-killed hyphae, 1 µg/mL  
424 phorbol 12-myristate 13-acetate (PMA; STEMCELL Technologies Inc., Cambridge, MA, catalog  
425 #74042), or β-glucan-coated beads (83) at 5:1 bead-to-neutrophil ratio in presence of 0.15 µM  
426 lucigenin (bis-N-methylacridinium nitrate; Enzo Life Sciences Inc., Farmingdale, NY, catalog  
427 #ENZ-52154) (84, 85). Extracellular ROS-dependent chemiluminescence (86) was measured  
428 every 5 min for 4h using an SpectraMax i3x microplate reader.

429

#### 430 **Flow cytometry (conidial phagocytosis, Dectin-1 expression, and intracellular ROS)**

431 For conidial phagocytosis, *A. fumigatus* swollen conidia were labeled using 20 µg/mL Alexa  
432 Fluor™ (AF) 488-NHS ester (succinimidyl ester) in PBS for 1h with agitation, rinsed with PBS,  
433 and resuspended in FACS buffer (PBS, 2% FBS, 1 mM EDTA). Neutrophils (200,000) in cRPMI

434 were stimulated with AF488-labeled *A. fumigatus* at MOI 10:1, in a 96-well V-bottom non-treated  
435 polypropylene microplate (Corning, Corning, NY, catalog #3357) for 2h at 37°C and 5% CO<sub>2</sub>. For  
436 Dectin-1 expression, 1x10<sup>6</sup> neutrophils in cRPMI were incubated with either solvent control  
437 (DMSO) or various concentrations of IBT for 4h at 37°C and 5% CO<sub>2</sub>. For intracellular ROS  
438 production, 1x10<sup>6</sup> neutrophils in cRPMI were incubated in conical tubes with either DMSO,  
439 various concentrations of IBT, or media alone for 4h at 37°C and 5% CO<sub>2</sub>. Neutrophils were then  
440 moved to FACS tubes, 1μM dihydroethidium (DHR) was added, and then stimulated with 1  
441 mg/mL heat-killed *A. fumigatus* hyphae (B5233 strain), 5 ng/mL PMA, or media alone for 1h at  
442 37°C and 5% CO<sub>2</sub>. After stimulation in all experiments, cells were incubated on ice for 10 min.  
443 Cells were washed with FACS buffer and treated with Human TruStain FcX, 7-AAD (viability)  
444 for phagocytosis and Dectin-1 studies, anti-CD66b-APC, anti-CD45-AF700, and/or anti-Dectin-  
445 1-PE (BioLegend, San Diego, CA, catalog #422302, #305118, #304024, and #355404,  
446 respectively). Experimental samples were analyzed using a BD FACSCelesta Cell Analyzer  
447 (minimum 10,000 viable CD66b<sup>+</sup> events) and the BD FACSDiva software, v.10. The gating  
448 strategy is outlined in *Supplemental Figure 9*.

449

#### 450 **Neutrophil swarming assay**

451 A microarray printing platform (Picospotter PolyPico, Galway, Ireland) was used to print a  
452 solution of 0.1% poly-L-lysine (Sigma-Aldrich, St. Louis, MO, catalog #P8920) and ZETAG 8185  
453 targets (BASF, Florham Park, NJ) with 100 μm diameter in 8 × 8 arrays on a 16-well format on  
454 ultra-clean glass slides (Fisher Scientific, Waltham, MA) (36). Slides were screened by  
455 microscopy for printing accuracy, dried at room temperature for 2h, and assembled into 16  
456 chambers using ProPlate® Multi-Well Chambers (Grace Bio-Labs, Bend, OR, catalog #204860).

457 Wells were loaded with 50  $\mu$ L of *A. fumigatus* resting conidia in sterile H<sub>2</sub>O, incubated for 10 min  
458 with agitation, and thoroughly washed with PBS to remove unbound conidia. Wells were screened  
459 by microscopy to ensure appropriate patterning of targets onto the spots. *Aspergillus*-seeded targets  
460 were located using the Nikon Perfect Focus system and multipoint function. Wells were loaded  
461 with 500,000 neutrophils stained with 4  $\mu$ M Hoechst (Thermo Scientific, Waltham, MA, catalog  
462 #H3570) in 200  $\mu$ L of swarming media (Iscove's Modified Dulbecco's Media with 20% FBS).  
463 When using chemical inhibitors and cytokines, neutrophils were pre-incubated as described above  
464 in swarming media. Live-cell imaging was conducted using a Nikon Ti-E inverted microscope. An  
465 excitation light source, 4-W laser (Coherent), was used to produce excitation wavelengths of 405  
466 and 488 nm using an acoustic optical tunable tuner. To acquire differential interference contrast  
467 images, a polarizer (MEN 51941; Nikon, Tokyo, Japan) and Wollaston prisms (MBH76190;  
468 Nikon, Tokyo, Japan) were used. Images were collected using a 10x objective and an EM-CCD  
469 camera (C9100-13; Hamamatsu, Shizuoka, Japan). Image acquisition was performed using  
470 MetaMorph 7.10 (Molecular Devices, San Jose, CA). Image analysis was performed using Fiji  
471 (87) as described by Hopke et al (36, 88), and raw image data files were processed using Adobe  
472 Photoshop 2023.

473

#### 474 **RNA extraction and qPCR**

475 Neutrophils (400,000) were incubated at 37°C and 5% CO<sub>2</sub> in the presence or absence of *A.*  
476 *fumigatus* (MOI:2.5). After 6h, cells were centrifuged for 5 min at 500 x g and supernatants  
477 removed. Cell pellets were resuspended in 350  $\mu$ L of Buffer RLT containing 1%  $\beta$ -  
478 mercaptoethanol and incubated on ice for 10 min. Lysates were homogenized using QIAshredder  
479 columns (Qiagen, Hilden, Germany, catalog #79656). Homogenized lysates were mixed with

480 RNase-free 70% ethanol and purified using the RNeasy Mini Kit (Qiagen, Hilden, Germany,  
481 catalog #74134) according to the manufacturer's instructions. RNA concentrations were measured  
482 using a NanoDrop™ One (Thermo Scientific, Waltham, MA, catalog #ND-ONE-W) and 1%  
483 agarose gels were used to verify RNA integrity.

484 RNA samples were treated with ezDNase enzyme (Invitrogen). For cDNA synthesis, 15  
485 ng of RNA were combined with the SuperScript IV VILO Master Mix kit (Invitrogen) according  
486 to the manufacturer instructions. Reverse transcription was performed for 10 min at 50°C. mRNA  
487 was quantified for *CXCL8* (TaqMan Gene Expression Assays, Hs00174103\_m1) and the  
488 housekeeping gene *GAPDH* (TaqMan Gene Expression Assays, Hs02758991\_g1) by quantitative  
489 PCR using TaqMAN Fast Advanced Master Mix (Applied Biosystems, Waltham, MA, catalog  
490 #4444557) using 2 µL of cDNA in 20 µL reactions, with 40 cycles of 3s at 95°C followed by 30  
491 sec at 60°C (Applied Biosystems™ 7500 Fast Real-Time PCR). Transcript levels were normalized  
492 using *GAPDH*.

493

#### 494 **NanoString nCounter analysis**

495 Transcriptional profiling was obtained using the nCounter® Human Host Response panel  
496 (NanoString Technologies, Seattle, WA, catalog #Q-21898) according to the manufacturer's  
497 instructions. Briefly, 25 ng of total RNA were used for hybridization reactions at 65°C for 22h,  
498 loaded onto a Sprint cartridge, and analyzed using an nCounter SPRINT Profiler (NanoString  
499 Technologies, Seattle, WA). Data analysis was performed using nSolver® 4.0. To adjust for  
500 differences in total RNA per lane, hybridization efficiency, and post-hybridization processing, the  
501 counts of 773 target RNAs were normalized based on negative controls (background subtraction)  
502 and the geometric mean of 12 positive control RNA counts.



503

504 **ELISA**

505 Neutrophils (2,000,000) were treated for 4h with 0.3  $\mu$ M IBT or DMSO and incubated for 5h at  
506 37°C and 5% CO<sub>2</sub> in the presence or absence of *A. fumigatus* (MOI:2.5). TNF $\alpha$  from the  
507 supernatant was measured using the ELISA MAX Deluxe Set (BioLegend, San Diego, CA, catalog  
508 #430204) following the manufacturer's instructions.

509

510 **Statistics**

511 Statistical analysis was performed using GraphPad Prism 9 software for all studies except for  
512 NanoString studies, which was performed using nSolver® Advance Analysis 2.0. Data is  
513 presented as mean  $\pm$  SD or percentage  $\pm$  95% CI. For extracellular ROS production studies, the  
514 area under the curve (AUC) was calculated. For all studies except for NanoString experiments,  
515 statistical differences were obtained using an ordinary one-way ANOVA and Tukey's multiple  
516 comparisons test with a single pooled variance. A  $p$ -value  $\leq$  0.05 was considered significant. For  
517 NanoSting studies, the fold changes,  $p$ -values, and adjusted  $p$ -values were obtained using the  
518 Benjamini-Yekutieli method. Only genes with an adjusted  $p$ -value  $\leq$  0.05 and a log<sub>2</sub> fold-change  
519 of  $\pm$  1.5 were significant.

520

521 **Study Approval**

522 The use of human blood samples to isolate primary neutrophils was approved by the Institutional  
523 Review Board at Massachusetts General Hospital (Protocol #2015P000818). Informed consent for  
524 data used was provided by all participants prior to participation in the study.

525

526 **Data Availability**

527 NanoString raw data files and normalized data are available through the GEO database (Accension  
528 Number: GSE264298). Raw data for figures presented in this manuscript are available in the  
529 Supporting Data Values XLS file.

530

531 **AUTHOR CONTRIBUTIONS**

532 D.A.V.-B., O.W.H., A.H., and J.M.V. conceptualized the study and developed the methodology;  
533 D.A.V.-B., O.W.H., K.J.B., P.S., A.J.C, K.D.T., A.H., H.E.B., K.N.J., D.J.F., J.L.R., and C.R.  
534 performed experiments; D.A.V.-B., O.W.H., K.J.B., A.H., S.R.V., and J.M.V., analyzed and  
535 interpreted data; D.A.V.-B., K.J.B., C.R., R.A.W., J.S.A., and J.M.V. coordinated and managed  
536 experiments using clinical samples; D.A.V.-B., O.W.H., R.A.W., and J.M.V. drafted the paper;  
537 D.A.V.-B., O.W.H., K.J.B., P.S., A.J.C., K.D.T., A.H., H.E.B., S.R.V., K.N.J., D.J.F., J.L.R.,  
538 C.R., M.K.M., R.A.W., D.I., J.S.A., and J.M.V. reviewed and edited the paper. D.A.V.-B  
539 performed most of the experiments and is listed as the first author of the first co-authors.

540

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549

550 **Conflict-of-interest disclosure**

551 The authors declare no competing financial interests.

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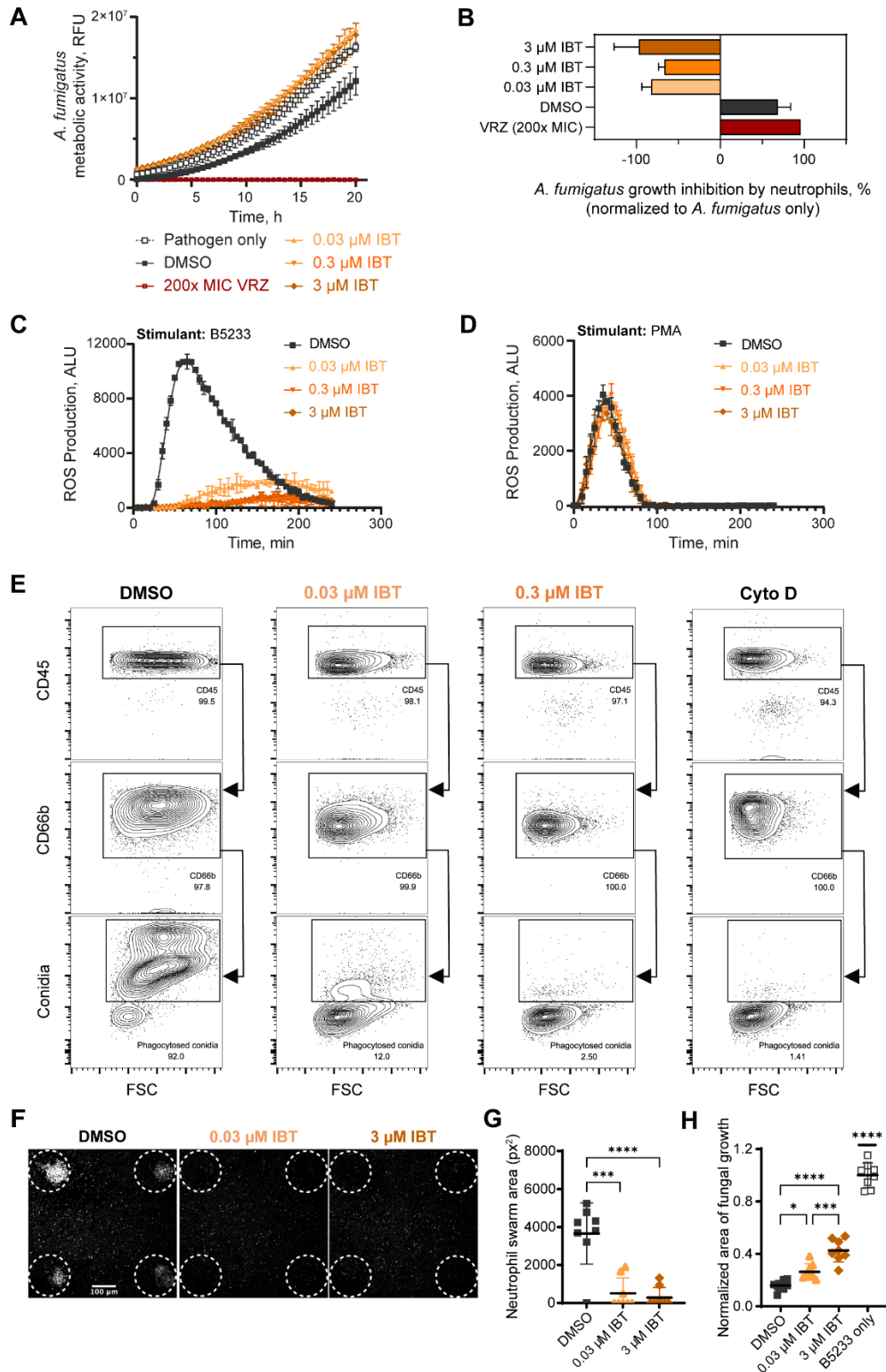


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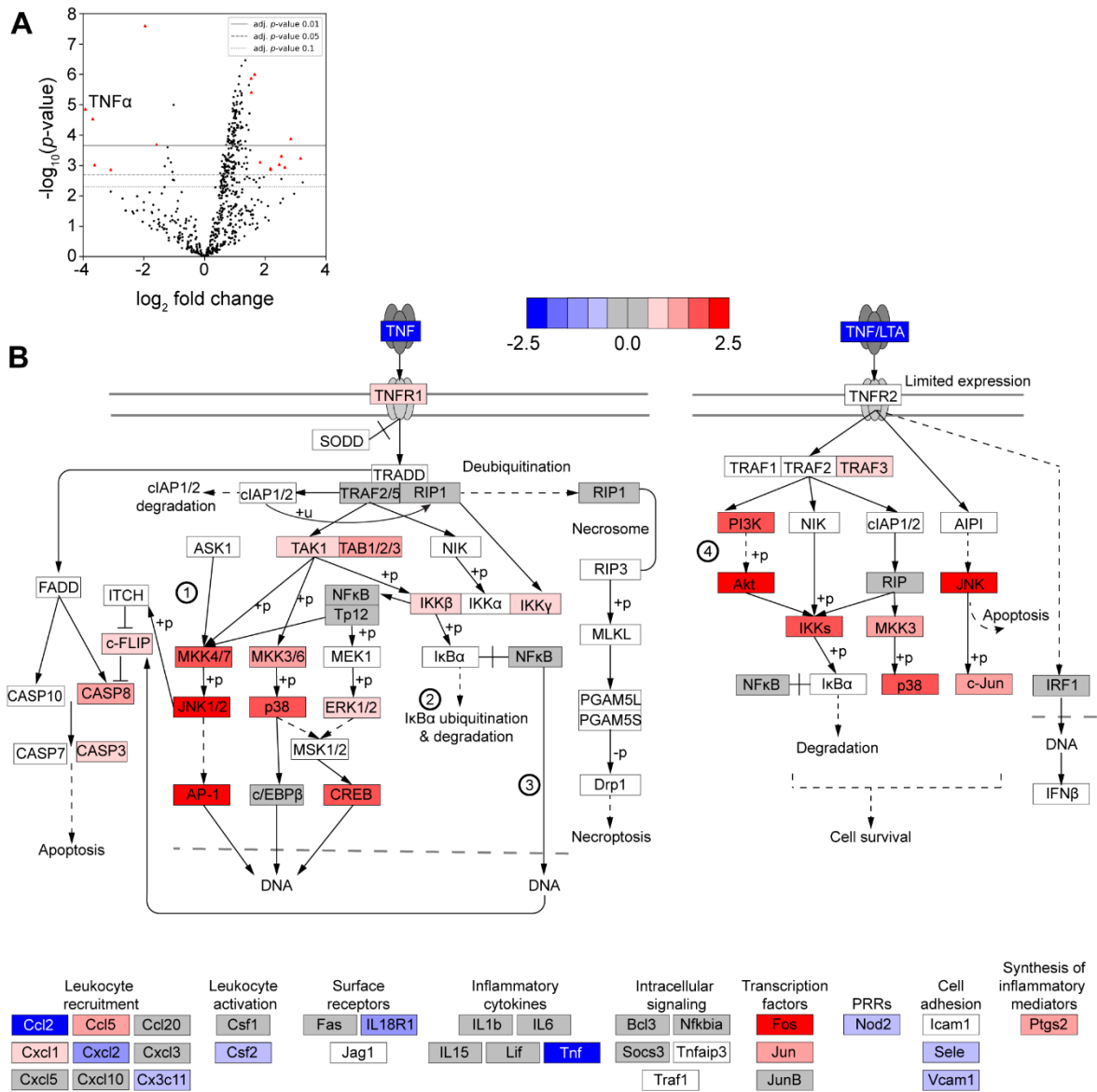
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**Figure 1. IBT inhibition dampened human neutrophil effector activity against *A. fumigatus*.**

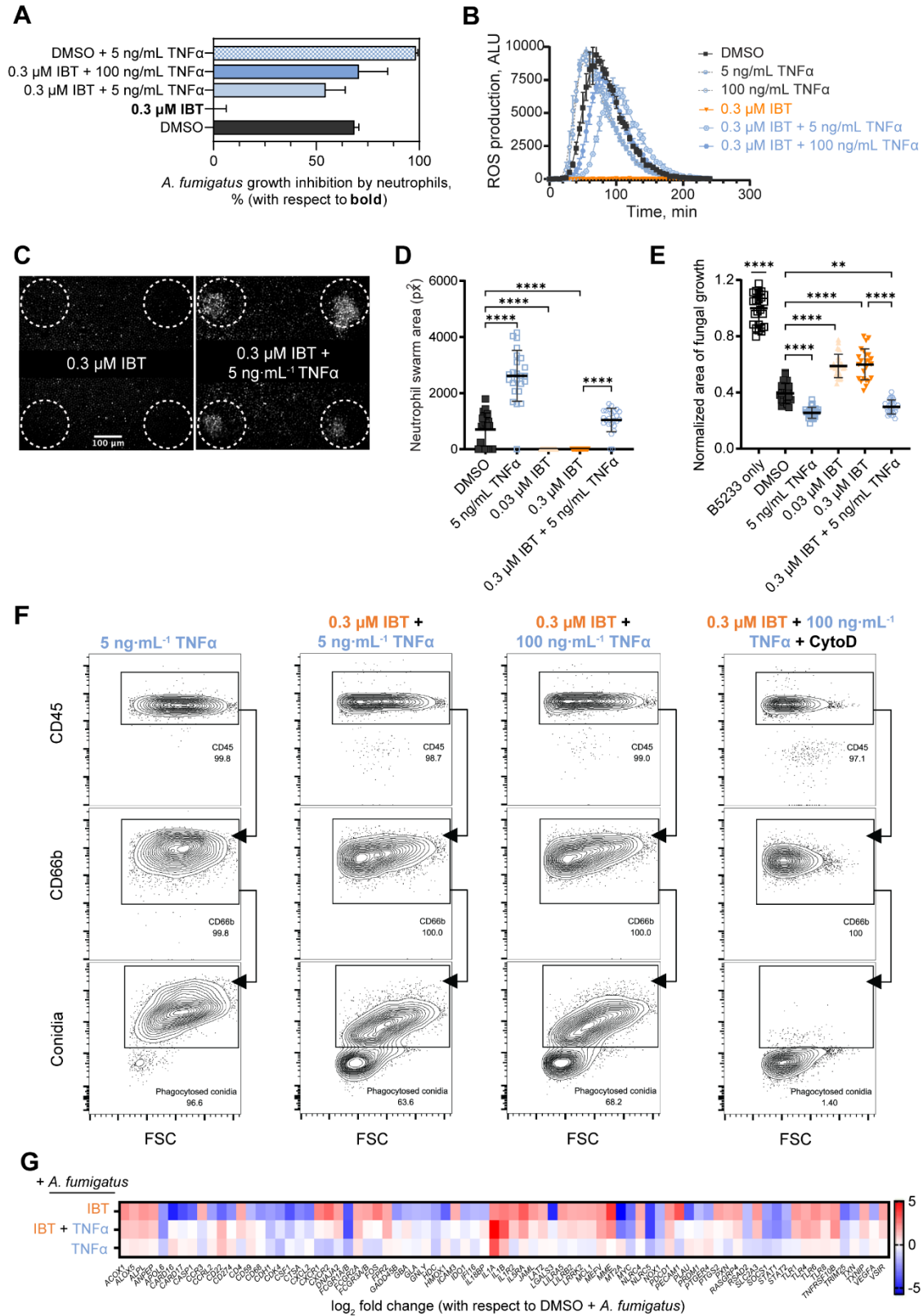
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(A) Metabolic activity of *A. fumigatus* B5233 strain measured using resazurin. Human neutrophils

782 were pretreated for 4h with IBT and stimulated with *A. fumigatus* (MOI:0.25) for 5h. Error bars  
783 are SD,  $n = 3$ , data representative of at least three independent experiments. **(B)** Percentages of  
784 growth inhibition derived from (A) using linear regression analysis in a Gompertz fit. Error bars  
785 are 95% CI,  $n = 3$ . Ordinary one-way ANOVA and Tukey's multiple comparisons test with a single  
786 pooled variance demonstrated a  $p$ -value  $< 0.0001$  for all IBT treatments vs DMSO alone. **(C, D)**  
787 Human neutrophils were treated for 4h with IBT or DMSO and then stimulated with 1 mg/mL *A.*  
788 *fumigatus* B5233 strain heat-killed hyphal elements (C) or 1  $\mu$ g/mL PMA (D). ROS production  
789 was measured by chemiluminescence using lucigenin. Error bars are SD,  $n = 3$ . **(E)** Human  
790 neutrophils were treated with IBT or DMSO for 4h and incubated with Af488-labeled *A. fumigatus*  
791 B5233 strain (conidia<sup>+</sup>) swollen spores (MOI: 10). A subset of neutrophils was pre-treated with 20  
792  $\mu$ M of cytochalasin D (CytoD). The displayed percentage of phagocytic neutrophils (CD45-  
793 AF700<sup>+</sup>/CD66b-APC<sup>+</sup>/conidia-AF488<sup>+</sup>) was estimated based on the total number of viable  
794 neutrophils (CD45-AF700<sup>+</sup>/CD66b-APC<sup>+</sup>). A minimum of 10,000 viable CD66b-APC<sup>+</sup> events  
795 were recorded. **(F, G, H)** Human neutrophils were treated with IBT or DMSO for 4h before co-  
796 incubation with *A. fumigatus* B5233 strain. Representative microscopy panels from the swarming  
797 assay showing neutrophil swarm formations 200 min after co-incubation, white circles depict areas  
798 seeded with *A. fumigatus* (F). Area of human neutrophil swarm 200 min after co-incubation with  
799 *A. fumigatus* seeded spores (G). Area of fungal growth per cluster on swarming array slides after  
800 16h, normalized to *A. fumigatus* growth without neutrophils (H). Error bars are SD,  $n = 8$ . Ordinary  
801 one-way ANOVA and Tukey's multiple comparisons test with a single pooled variance, \*  $p <$   
802 0.05; \*\*\*  $p < 0.001$ ; \*\*\*\*  $p < 0.0001$ . For all panels, data are representative of at least three  
803 independent experiments.



804  
805 **Figure 2. IBT induced downstream upregulation of the TNF $\alpha$  pathway in human**  
806 **neutrophils. (A)** Volcano plot for DEGs in neutrophils treated with 0.3  $\mu$ M IBT vs DMSO (4.5h,  
807 unstimulated). DEGs based on log<sub>2</sub> fold change and *p*-adj < 0.05. FDRs were calculated using the  
808 Benjamini-Yekutieli method with three biological replicates per condition. Red and blue dots  
809 represent upregulated and downregulated genes, respectively. **(B)** TNF $\alpha$  KEGG pathway was  
810 created for all probed genes for IBT-treated neutrophils vs DMSO. Genes in white boxes are genes  
811 not included in the nCounter panel. Numbers in circles represent pathways: (1) MAPK signaling  
812 pathway; (2) ubiquitin-mediated proteolysis; (3) NF $\kappa$ B signaling pathway; and (4) PI3K-Akt  
813 signaling pathway.



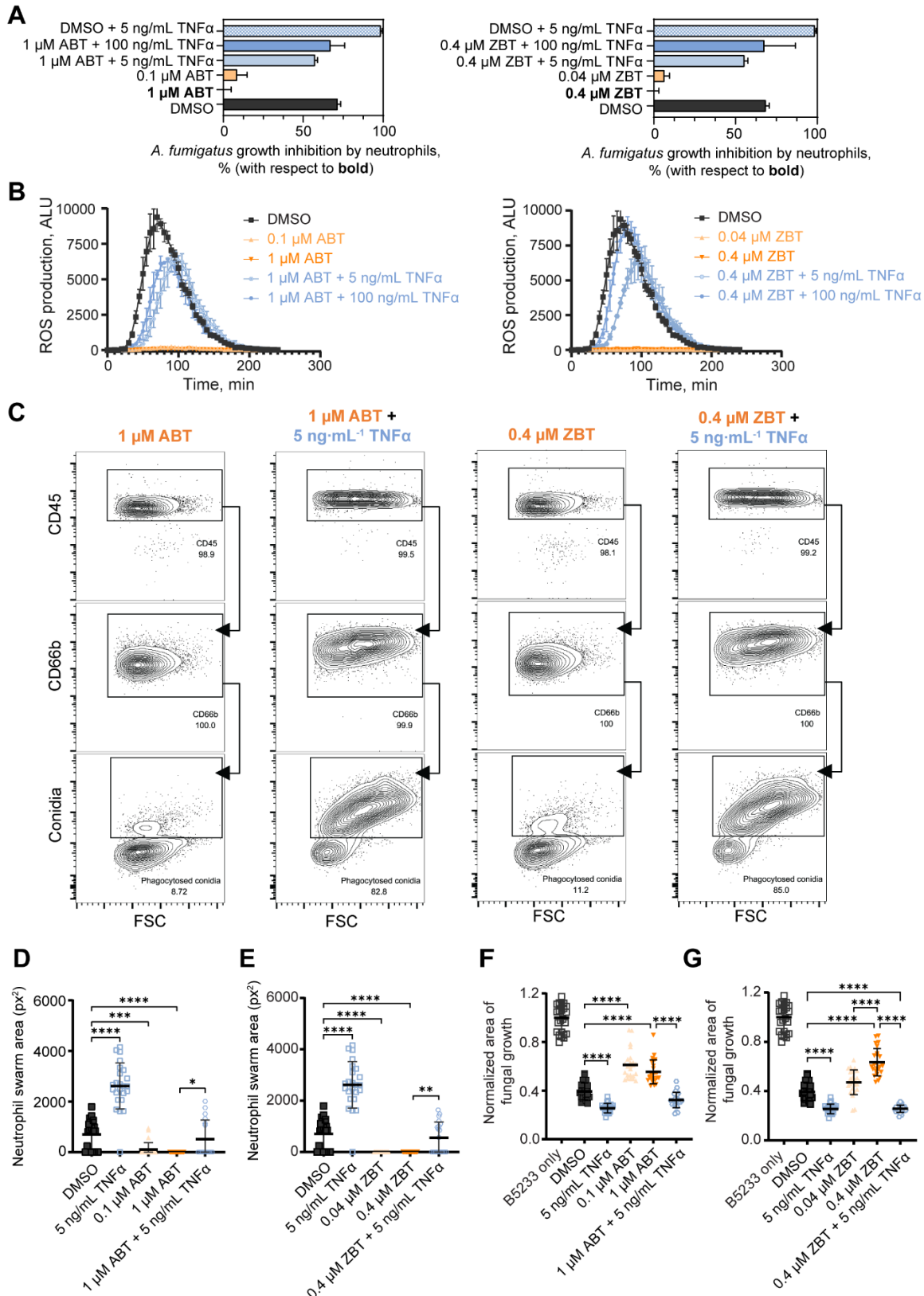
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815 **Figure 3. TNF $\alpha$  rescued IBT-induced immune defects in neutrophils against *A. fumigatus*.**

816 Human neutrophils were treated with 0.03  $\mu$ M IBT, 0.3  $\mu$ M IBT, or DMSO for 30 min followed



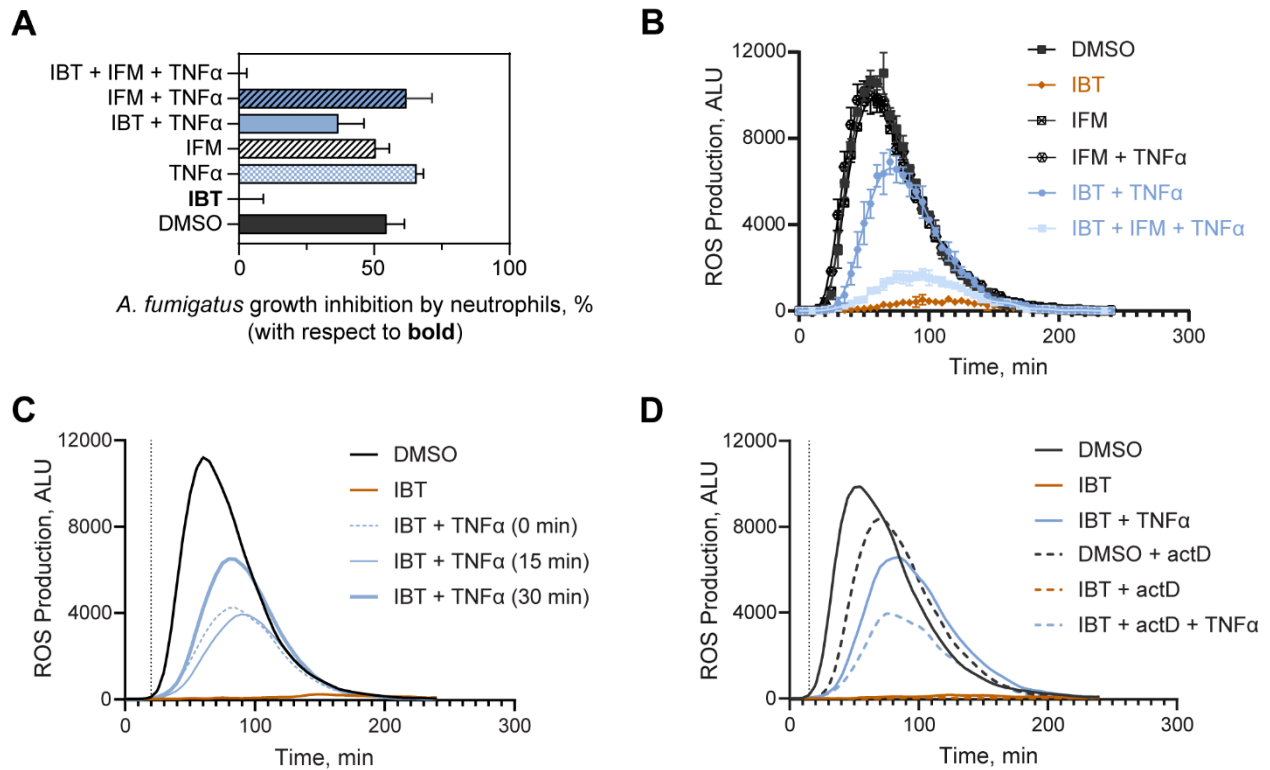
817 by a 4h incubation with TNF $\alpha$  and co-incubated with *A. fumigatus* B5233 strain for all figure  
818 panels. For all panels, data are representative of at least three independent experiments. **(A)**  
819 Neutrophils were incubated with *A. fumigatus* (MOI:0.25) for 5h and metabolic activity was  
820 measured by resazurin assay. Data calculated through time course study (see raw data in  
821 Supplemental Materials) and panel represents the output from linear regression analysis using  
822 Gompertz fit with percentages of growth inhibition of *A. fumigatus* by neutrophils in reference to  
823 IBT-treated neutrophils. Error bars are 95% CI,  $n = 3$ . Ordinary one-way ANOVA and Tukey's  
824 multiple comparisons test with a single pooled variance demonstrated a  $p$ -value  $< 0.001$  for all  
825 TNF $\alpha$  treatments vs IBT alone. **(B)** Neutrophils were stimulated with 1 mg/mL *A. fumigatus* heat-  
826 killed hyphae. ROS production was measured by chemiluminescence using lucigenin. Error bars  
827 are SD,  $n = 3$ . **(C)** Microscopy panels showing neutrophils swarm formations 200 min after co-  
828 incubation. **(D)** Area of neutrophil swarm after 200 min. **(E)** Area of fungal growth normalized to  
829 the growth of *A. fumigatus* without neutrophils after 16h. Error bars are SD,  $n = 24$ . Ordinary one-  
830 way ANOVA and Tukey's multiple comparisons test with a single pooled variance, \*\*  $p < 0.01$ ;  
831 \*\*\*\*  $p < 0.0001$ . **(F)** Neutrophils were co-incubated with AF488-labeled *A. fumigatus* swollen  
832 spores (MOI: 10). The displayed percentage of phagocytic neutrophils (CD45-AF700<sup>+</sup>/CD66b-  
833 APC<sup>+</sup>/conidia-AF488<sup>+</sup>) was estimated based on the total number of viable neutrophils (CD45-  
834 AF700<sup>+</sup>/CD66b-APC<sup>+</sup>). A minimum of 10,000 viable CD66b-APC<sup>+</sup> events were recorded. **(G)**  
835 Heatmap for DEG based on log<sub>2</sub> fold change ( $1.5 < \log_2$  fold change  $< -1.5$ ) and a  $p$ -adj value  $<$   
836 0.05. FDR was calculated using the Benjamini-Yekutieli method with three biological replicates  
837 per condition. RNA from neutrophils co-incubated for 5h with *A. fumigatus* B5233 strain  
838 (MOI:2.5).



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841

**Figure 4. TNF $\alpha$  restored defects caused by multiple BTK inhibitors on neutrophil immune activity against *A. fumigatus*.** Human neutrophils were treated with ABT, ZBT, or DMSO for 30

842 min followed by a 4h incubation with TNF $\alpha$  and co-incubated with *A. fumigatus* B5233 strain for  
843 all figure panels. For all panels, data are representative of at least three independent experiments.  
844 **(A)** Neutrophils were incubated with *A. fumigatus* (MOI:0.25) for 5h, and metabolic activity was  
845 measured using a resazurin assay. Data calculated through time course study (see raw data in  
846 Supplemental Materials) and panel represents the output from linear regression analysis using  
847 Gompertz fit with percentages of growth inhibition of *A. fumigatus* by neutrophils in reference to  
848 neutrophils treated with the respective BTK inhibitor. Error bars are 95% CI,  $n = 3$ . Ordinary one-  
849 way ANOVA and Tukey's multiple comparisons test with a single pooled variance demonstrated  
850 a  $p$ -value  $< 0.001$  for all IBT treatments vs BTK inhibitor (ABT or ZBT) alone. **(B)** Neutrophils  
851 were incubated with 1 mg/mL *A. fumigatus* heat-killed hyphae. ROS production was measured by  
852 chemiluminescence using lucigenin. Error bars are SD,  $n = 3$ . **(C)** Neutrophils treated with ABT  
853 (left two panels) or ZBT (right two panels) were co-incubated with labeled *A. fumigatus* swollen  
854 spores (MOI: 10). The displayed percentage of phagocytic neutrophils (CD45-AF700<sup>+</sup>/CD66b-  
855 APC<sup>+</sup>/conidia-AF488<sup>+</sup>) was estimated based on the total number of viable neutrophils (CD45-  
856 AF700<sup>+</sup>/CD66b-APC<sup>+</sup>). A minimum of 10,000 viable CD66b-APC<sup>+</sup> events were recorded. **(D-G)**  
857 Swarming assay was measured by confocal microscopy view of *A. fumigatus* conidia spots after  
858 200 min. Area of neutrophil swarm after 200 min for neutrophils treated with ABT **(D)** or ZBT  
859 **(E)**. Area of fungal growth per cluster on swarming array slides normalized to the growth of *A.*  
860 *fumigatus* without neutrophils after 16h, for neutrophils treated with ABT **(F)** or ZBT **(G)**.  
861 Treatment controls correspond to the same swarming array experiment (D-G). Error bars are SD,  
862  $n = 24$ . Ordinary one-way ANOVA and Tukey's multiple comparisons test with a single pooled  
863 variance, \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; \*\*\*\*  $p < 0.0001$ .



864

865 **Figure 5. Restorative activity of exogenous TNF $\alpha$  signals independent of transcription. (A)**

866 Neutrophils were incubated with *A. fumigatus* B5233 strain (MOI:2.5) for 5h and metabolic

867 activity was estimated by fluorescence. Data calculated through time course study (see raw data in

868 Supplemental Materials) and panel represents the output from linear regression analysis using

869 Gompertz fit with Error bars are 95% CI,  $n = 3$ . Ordinary one-way ANOVA and Tukey's multiple

870 comparisons test with a single pooled variance demonstrated a  $p$ -value  $< 0.001$  for TNF $\alpha$  alone,

871 IFM alone, and in combination with IBT treatments vs IBT alone,  $p$ -value = 0.0004 for IBT +

872 TNF $\alpha$  vs IBT alone. **(B)** ROS production in IBT-treated neutrophils incubated with 25  $\mu$ g/mL IFM

873 in the presence of exogenous TNF $\alpha$  and co-incubated with 1 mg/mL *A. fumigatus* heat-killed

874 hyphae. Error bars are SD,  $n = 3$ , data representative from at least three independent experiments.

875 **(C)** Neutrophils were treated with 0.3  $\mu$ M IBT for 30 min followed by 5 ng/mL TNF $\alpha$  for the time

876 indicated. To better visualize the starting point of ROS production (black dotted line, 20 min), only

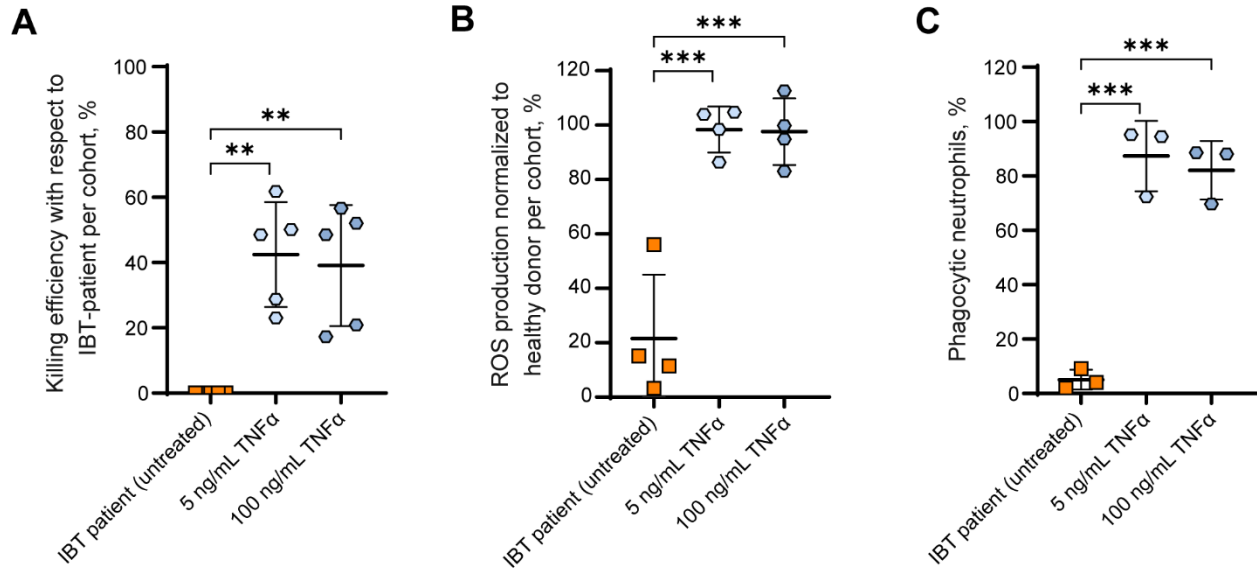
877 the trend but not the time points are shown. **(D)** Neutrophils were treated with DMSO or 0.3  $\mu$ M

878 IBT for 30 min followed by 1  $\mu$ g/mL actD for 15 min and by 5 ng/mL TNF $\alpha$  for 1h. ROS

879 production was measured after stimulation with 1 mg/mL *A. fumigatus* heat-killed hyphae. The

880 black dotted line represents the starting point of ROS production (15 min) upon stimulation with

881 *A. fumigatus* for treatments containing actD.



882  
 883 **Figure 6. TNF $\alpha$  compensated for immune defects against *A. fumigatus* in neutrophils from**  
 884 **IBT-treated patients.** Human neutrophils from IBT-treated patients or healthy donors were  
 885 incubated for 4h with TNF $\alpha$  and co-incubated with *A. fumigatus* B5233 strain for all figure panels.  
 886 **(A)** Neutrophils were incubated with *A. fumigatus* (MOI:0.25) for 5h and metabolic activity was  
 887 estimated by resazurin-based assay. Data are shown as the percentage of *A. fumigatus* killing  
 888 efficiency corresponding to neutrophils from each IBT-treated patient. Error bars are SD,  $n = 5$ .  
 889 **(B)** Neutrophils were incubated with 1 mg/mL *A. fumigatus* heat-killed hyphae. ROS production  
 890 was measured by chemiluminescence using lucigenin. Data represents normalized ROS  
 891 production from IBT-patient neutrophils to ROS production from healthy donors, per patient. Error  
 892 bars are SD,  $n = 4$ . **(C)** Neutrophils were co-incubated with labeled *A. fumigatus* swollen spores  
 893 (MOI: 10). The displayed percentage of phagocytic neutrophils (CD45-AF700<sup>+</sup>/CD66b-  
 894 APC<sup>+</sup>/conidia-AF488<sup>+</sup>) was estimated based on the total number of viable neutrophils (CD45-  
 895 AF700<sup>+</sup>/CD66b-APC<sup>+</sup>). A minimum of 10,000 viable CD66b-APC<sup>+</sup> events were recorded. Data  
 896 represents the percentage of phagocytic neutrophils for neutrophils from each IBT-treated patient.  
 897 Because of limits placed on peripheral blood draws for these patients, not all assays were  
 898 performed on the 5 patients. Error bars are SD,  $n = 3$ . \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

## 899 TABLES

## 900 TABLE 1

901 DEG from IBT-treated neutrophils vs DMSO-treated neutrophils (unstimulated).

<b>Gene</b>	<b>Mean of log<sub>2</sub> fold-change (vs DMSO)</b>	<b>Std. error</b>
<i>ADGRG3</i>	2.64	0.66
<i>ALPL</i>	2.84	0.558
<i>APOL6</i>	-1.58	0.324
<i>CD274</i>	-3.68	0.624
<i>CR1</i>	2.46	0.598
<i>ERN1</i>	1.65	0.209
<i>FBXO6</i>	-3.09	0.79
<i>FOS</i>	2.17	0.554
<i>GBP1</i>	-3.62	0.886
<i>IL1RAP</i>	2.17	0.547
<i>IL1RL1</i>	2.53	0.572
<i>MAP2K4</i>	1.54	0.218
<i>PIK3CB</i>	3.16	0.728
<i>RAC2</i>	1.5	0.322
<i>STAT1</i>	-1.96	0.186
<i>TIMP2</i>	1.53	0.198
<i>TMEM140</i>	1.83	0.436
<i>TNF</i>	-3.93	0.623

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